IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:) Art Unit: 1643
KEHOE, et al.) Examiner: DUFFY, B.
Serial No.: 10/575,618) Washington, D.C.
Filed: July 17, 2007) December 10, 2009
For: COMBINATION THERAPY FOR CANCER) Docket No.: KEHOE=1A
) Confirmation No.: 1340

ELECTION WITH TRAVERSE

U.S. Patent and Trademark Office Customer Service Window Randolph Building 401 Dulany Street Alexandria, VA 22314

sir:

In response to the restriction requirement mailed September 10, 2009, please enter the following response.

- 1. Applicants elect group I with traverse.
- 2. As the anti-estrogenic steroid agent species (OA $\S 6$), applicants elect <u>tamoxifen</u>.
- 3. The examiner concedes that claims 1-3 and 7-31 are linking claims (OA §4), i.e., the restriction is conditional on non-allowance of the linking claims.

The examiner argues that claim 1 is obvious over Baughman USP 6,627,196, who teaches the combination of tamoxifen (as antiestrogenic steroid agent) and trastuzumab (as immunological agent). Trastuzumab (Herceptin) is a monoclonal antibody that interferes with HERZ/neu receptor (Exhibit 1).

The restriction is incomplete in that it fails to explain how the other linking claims, in particular claim 3, are obvious over the art relied on. Under PCT Administrative Instructions, Annex B, paragraph (c), the onus is on the examiner to establish a posteriori lack of unity, not an applicant to prove unity.

By preliminary amendment filed on even date herewith, applicants amend claim 1 to incorporate the limitations of claim 3, i.e., that the immunological agent is an immunogen. This distinguishes Baughman, in which the immunological agent is an

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antibody. Hence the restriction should be withdrawn.

4. If the restriction is maintained, considered claims 1-2, 4-6, 13, 14, 17-31 and new claims 41 and 45-47 should be examined. Note that the immunogen comprising a MUC1 epitope does not foreclose it also comprising the carbohydrate epitope of claims 5 and 6.

Respectfully submitted,

BROWDY AND NEWMARK, P.L.L.C. Attorneys for Applicant

Accorneys 191 Applicant

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Iver P. Cooper Reg. No. 28,005

624 Ninth Street, N.W. Washington, D.C. 20001 Telephone: (202) 628-5197 Facsimile: (202) 737-3528

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